

**ILLINOIS
MENTAL HEALTH COLLABORATIVE**
FOR ACCESS AND CHOICE

Provider Monitoring - Clinical Record Review Tool (Revised 04/03/08)

		Yes	No
D1	The current treatment plan is not identical to the prior treatment plan.		
D2	The LOCUS domain scores are clearly evidenced in the assessment information.		
D3	Functioning status as assessed by the LOCUS demonstrates an improvement after 90 days of treatment or interventions are modified to address functioning impairments.		
D4	A supportive recovery environment both Environmental Stressors and Supports are established or actively being addressed weekly through the use of appropriate interventions as evidenced in the clinical notes, treatment plan or updates of assessment scoring tools.		
D5	Each face to face clinical note contains an intervention which is listed in the treatment plan.		
D6	Interventions, as captured within the progress notes, incorporate evidence-based methodologies including motivational interviewing, cognitive behavioral therapy, illness management and recovery, integrated dual disorders treatment, and family psychoeducation, as applicable to the consumer's individual assessed needs and treatment plan.		
D7	Interventions documented in the progress notes reflect active rehabilitation interventions including skill building, coaching/mentoring in skill and knowledge acquisition and maintenance, self-advocacy, community integration, and building/using support systems as related to the consumer meeting his/her own recovery goals based on the current assessment and treatment plan.		
D8	Skill Building & Training Methodologies documented in the clinical record are based on an established curriculum that utilizes specific techniques during each training sessions to address a functioning impairment listed in the treatment plan as evidenced in progress note documentation.		
D9	The treatment plan and interventions are not modified based on the minimum standard every 90 days but are revised as needed based on the clinical needs of the consumer and the consumers stated recovery goals.		
D10	Domains with a score of 3 or above as identified in the Locus assessment are addressed on the treatment plan with an appropriate and targeted intervention.		
D11	<p>There is documentation that the current treatment provides Clinical Significance: Clinical significance refers to the extent to which treatment meets the goals and expectations of the consumer. Clinical significance is defined in relation to the consumer's expectations and the goals of treatment, as well as the clinician's expertise and knowledge of the consumer and their mental illness.</p> <p>Jacobson & Traux (1991) provide a non-exclusive list of criteria defining clinical significance, which includes:</p> <ul style="list-style-type: none"> • The level of change that is recognized as meaningful by peers and significant others; • The elimination of the presenting problem; • The achievement of normal or 'high end-state' levels of functioning by the end of treatment; 		

		Yes	No
	and • Changes that reduce the risk of health problems.		
D12	There is evidence in the clinical record of primary health care coordination and integrated care is occurring with the physical health care provider		
D13	There is evidence in the clinical record that a health care assessment includes annual screening (i.e. BP, basic and metabolic laboratory panels, Weight, Res, Pulse, etc.) at minimum and any identified health care problems are being addressed and coordinated with physical health care provider as documented in the treatment plan		
D14	There is evidence in the clinical record that adverse side effects from medications are monitored and actively addressed as a part of treatment including monitoring weight gain, hyperlipidemia, high blood pressure, metabolic disorders documented and/or updated AIMS score or and/or other assessment tool		
D15	There is evidence in the clinical record that the service intensity of medication management appointments and nursing / psychiatric interventions are appropriate to the documented effectiveness of the prescribed medications.		